

FORM PTO-1449 (Modified)				Atty Docket No.: 563.2-6072		Serial No. 08/042,162	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Applicant: Kurt Ballou; George Tom Roberts;; Kristian J. DiMatteo; Adrian C. Ravenscroft			
				Filing Date: October 1, 1997		Group:3309	
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S CHIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,102,417	4/7/92	Palmaz	606	195	
	AB	5,104,404	4/14/92	Wolff	623	1	
	AC	5,135,536	8/4/92	Hillstead	606	195	
	AD	5,195,984	3/23/93	Schatz	606	195	
	AE	5,290,304	3/1/94	Inoue	606	191	
	AF	5,395,390	3/7/95	Simon	606	198	
	AG	5,421,955	6/6/95	Lau et al	216	48	
FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO
	BA	WO 96/33671	10/31/96	WO			x
	BB	WO 96/39102	12/12/96	WO			x
	BC	WO 96/41590	12/27/96	WO			x
	BD	WO 97/33534	9/18/97	WO			x
	BE	WO 97/32543	9/12/97	WO			x
	BF	WO 97/32544	9/12/97	WO			x
	BG	WO 97/41803	11/13/97	WO			x
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	CA	"Experimental Intrahepatic Portacaval Anastomosis: Use of Expandable Gianturco Stents" by Josef Rosch, M. D., in <i>RADIOLOGY</i> , February, 1987, pp. 481 - 485.					
	CB	"Stenosis of the Vena Cava: Preliminary Assessment of Treatment with Expandable Metallic Stents" by Chusilp Charnsangaveg, M.D. et al in <i>RADIOLOGY</i> , Vol. 121, No. 2, February, 1987, pp. 295 - 298.					
	CC	"Expandable Intraluminal Graft: A Preliminary Study" by Julio Palmaz et al, in <i>RADIOLOGY</i> , July 1985, pp. 73 - 77.					
	CD	"Radiological Follow-Up of Transluminally Inserted Vascular Endoprostheses: An Experimental Study Using Expanding Spirals" by D. Maas et al, <i>RADIOLOGY</i> , September 1984, pp. 559 - 663.					
	CE	"Gianturco Expandable Wire Stents In the Treatment of Superior Vena Cava Syndrome Recurring After Maximum-Tolerance Radiation" by Josef Rosch, M.D., et al, in <i>CANCER</i> , Sept. 15, 1987, Vol. 60, pp. 1239 - 1246.					
EXAMINER				DATE CONSIDERED 12/3/04			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

<b>FORM PTO-1449 (Modified)</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> (Use several sheets if necessary)			<b>ATTY DOCKET NO:</b> S63.2-6072		Serial <del>No. 08,942,162</del>	
<b>APPLICANT:</b> Kurt Ballou; George Tom Roberts; Kristian J. DiMatteo; Adrian C. Ravenscroft			<b>FILING DATE:</b> October 1, 1997		<b>Group:</b> 3309	

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
EXAM. INT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<input checked="" type="checkbox"/>	AA	5,449,373	9/12/95	Pinchasik et al	606	198
<input checked="" type="checkbox"/>	AB	5,466,242	11/14/95	Mori	606	198
<input checked="" type="checkbox"/>	AC	5,514,178	5/7/96	Torchio	623	12
<input checked="" type="checkbox"/>	AD	5,540,712	7/30/96	Kleshinski et al	606	198
<input checked="" type="checkbox"/>	AE	5,545,210	8/13/96	Hess et al	623	1
<input checked="" type="checkbox"/>	AF	5,591,197	1/7/97	Orth et al	606	198
<input checked="" type="checkbox"/>	AG	5,653,727	8/5/97	Wiktor	606	195
<input checked="" type="checkbox"/>	AH	5,702,419	12/30/97	Berry et al	606	198
<input checked="" type="checkbox"/>	AI	5,725,572	3/10/98	Lam et al	623	1
<input checked="" type="checkbox"/>	AJ	5,735,893	4/7/98	Lau et al	623	1
<input checked="" type="checkbox"/>	AK	5,649,952	7/22/97	Lam	606	198

FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION							
EXAM. INT.	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<input checked="" type="checkbox"/>	BA	WO 97/41803	11/13/97	WO		x	
<input checked="" type="checkbox"/>	BB	WO 98/05270	1/12/98	WO		x	
<input checked="" type="checkbox"/>	BC						
<input checked="" type="checkbox"/>	BD						
<input checked="" type="checkbox"/>	BE						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
<input checked="" type="checkbox"/>	CA	"Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications" by Michael J. Wallace et al, Vol. 158, No. 2, RADIOLOGY, February 1986, pp. 309 - 312.
<input checked="" type="checkbox"/>	CB	"Flexible Balloon-Expanded Stent For Small Vessels" by Gerard Duprat, Jr., et al, RADIOLOGY, January 1987.
<input checked="" type="checkbox"/>	CC	"Elastic Characteristics of the Self-Expanding Metallic Stents" by B. G. Fallon, Ph.D., INVESTIGATIVE RADIOLOGY, May 1988, Vol. 23, pp. 370 - 376.

<b>EXAMINER</b>	<b>DATE CONSIDERED</b> 12/8/94
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE STATEMENT**  
 (Use several sheets if necessary)

Applicant: Kurt Ballou; George Tom Roberts;; Kristian J. DiMatteo; Adrian C. Ravenscroft

Filing Date: October 1, 1997

Group:3309


**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAM'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						

**FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION**

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA							
	BB							
	BC							
	BD							
	BE							
	BF							
	BG							



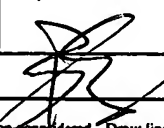
**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	CA		"Percutaneous Endovascular Graft: Experimental Evaluation" by David Lawrence, Jr., M.D., et al, in <i>RADIOLOGY</i> , May 1987, pp. 357 - 360.
	CB		"Modified Gianturco Expandable Wire Stents In Experimental and Clinical Use" by J. Rosch et al in <i>CIRSE, Porto Cervo, Sardinia</i> , May 25 - 29, 1996.
	CC		
	CD		
	CE		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				ATTY DOCKET NO: S63.2-6072		Appl. No: S63.2-6072	
				APPLICANT: Kurt Ballou et al.			
				FILING DATE: October 1, 1997		Group: 3738	
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	DES. 380,266	6/24/97	Boatman et al.	D24	155	12/30/94
	AB	5695516	12/9/97	Fischell et al.	606	194	2/21/96
	AC	DES 380,831	7/8/97	Kavteladze et al.	D24	155	2/2/95
	AD	5354308	10/11/94	Simon et al.	606	198	5/1/92
	AE	5683411	11/4/97	Kavteladze et al.	606	200	4/6/95
	AF	5643339	7/1/97	Kvoteladze et al.	623	1	8/6/95
	AG	5697971	12/16/97	Fischell et al.	623	1	6/11/96
	AH	5800519	9/1/98	Sandock	623	1	11/4/96
	AI						
	AK						
FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION							
		DOCUMENT NUMBER	PUBLICAT ION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO
	BA	97/09945	3/20/97	WO			
	BB	98/18405	5/7/98	WO			
	BC	98/07386	2/26/98	WO			
	BD	97/14375	4/24/97	WO			
	BE						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	CA						
	CB						
	CC						
EXAMINER 				DATE CONSIDERED 12/18/97			
EXAMINER: Initial citations considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO.:</b> S63.2-6072		<b>SERIAL NO.:</b> 08/942,162	
				<b>APPLICANT:</b> Kurt Ballou; George T. Roberts; Kristian J. DiMatteo; Adrian C. Ravenscroft			
				<b>FILING DATE:</b> October 1, 1997		<b>GROUP:</b> 3309	
<b>REFERENCE DESIGNATION</b>				<b>U.S. PATENT DOCUMENTS</b>			
<b>EXAM'S INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>FILING DATE IF APPROPRIATE</b>
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
<b>FOREIGN PATENT DOCUMENTS</b>							
		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION</b>
							<b>YES</b> <b>NO</b>
	BA	DE 40 22 956 A1	02/06/1992	Germany			X
	BB	WO 9531945 A1	11/30/1995	PCT			X
	BC	0 335 341 A1	10/04/1989	EPO			X
	BD	0 421 729 A2	04/10/1991	EPO			X
	BE	0 606 165 A1	07/13/1994	EPO			X
	BF	0 688 545 A1	12/27/1995	EPO			X
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	CA	<i>Relocatable Gianturco Expandable Metallic Stents</i> , by Toshiyuki Irie, MD. et al., <i>RADIOLOGY</i> , 1991; 178: 575-578.					
	CB	PCT Search Report with a date of mailing of January 15, 1999.					
	CC						
<b>EXAMINER</b>				<b>DATE CONSIDERED</b> 12/8/01			
<b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

## FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2-6072

SERIAL NO.: 08/943,162

APPLICANT: Kurt Ballou; George T. Roberts; Kristian J. DiMatteo; Adrian C. Ravenscroft

FILING DATE: October 1, 1997

GROUP: 3309

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	WO 98/37833	9/3/1998	PCT			X	
	BB							
	BC							
	BD							
	BE							
	BF							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

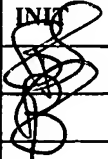
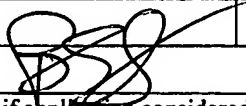
	CA		
	CB		
	CC		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)		ATTY DOCKET NO.: S63.2N-6072-US03		APPLICATION NO. Not Assigned	
		APPLICANT: Kurt Ballou, George T. Roberts, Kristian J. Dimatteo and Adrian C. Ravenscroft			
		FILING DATE: Not Assigned		GROUP: Not Assigned	
UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY					
EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)	
	DA				
	DB				
	DC				
	DD				
	DE				
EXAMINER				DATE CONSIDERED	
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED)		ATTY DOCKET NO.: S63.2N-6072-US03		APPLICATION NO. Not yet assigned		
		APPLICANT: Kurt Ballou, George T. Roberts, Kristian J. Dimatteo and Adrian C. Ravenscroft				
		FILING DATE: Concurrently herewith		GROUP: Not yet assigned		
US APPLICATIONS REALTED BY PRIORITY						
EXAM'S INIT.		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
	EA	<del>09/187,942</del>	06/06/00	6,723,118	Ballou et al.	X
	EB	<del>08/942,162</del>	10/01/97	6,071,308	Ballou et al.	X
	EC					
	ED					
	EE					
	EF					
EXAMINER 				DATE CONSIDERED 12/8/04		
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.						

<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO.:</b> S63.2-9025		<b>Application No.:</b> <del>09/38,992</del>	
<b>APPLICANT:</b> BALLOU ET AL				<b>FILING DATE:</b> 6 JUNE 2000		<b>GROUP:</b> 3738	

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE ~ IF APPROPRIATE	
	AA	5540712	7/30/96	KLESHINSKI ET AL	606	198	
	AB	5395390	3/7/95	SIMON ET AL	606	198	
	AC	5545210	8/13/96	HESS ET AL	606	191	
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	BA	98/07386	2/26/98	WO			
	BB						
	BC						
	BD						
	BE						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
CA		
CB		
CC		

<b>EXAMINER</b>	<b>DATE CONSIDERED</b> 12/8/04
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